

ATTORNEY DOCKET NUMBER
062986.0174



1

PATENT #2
09/788,174
8/17/01
Receipt

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

AUG 15 2001

Technology Center 2100

In re Application of: Kenneth C. Yeager, et al.
Serial No.: 09/788,174
Filing Date: February 15, 2001
Title: METHOD AND APPARATUS FOR RECORDING TRACE
DATA IN A MICROPROCESSOR BASED INTEGRATED
CIRCUIT

Office of Initial Patent Examination's Customer
Service Center
Assistant Commissioner of Patents
Washington, D.C. 20231

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Office of Initial Patent Examination's Customer Service Center, Assistant Commissioner of Patents, Washington, D.C. 20231, on the date shown below:

J. Vasquez
Name

4-03-01
Date

Dear Sir:

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Attached is a copy of the Official Filing Receipt received from the U.S. Patent and Trademark Office in the above-identified patent application for which issuance of a corrected filing receipt is respectfully requested.

Pursuant to 37 C.F.R. § 1.213 (a), at the time the application was filed Applicants requested that this application not be published. The Official Filing Receipt does not reflect this request. For the Non-Publication Request, please delete "No", and insert --YES--. Additionally, please delete the Projected Publication Date of "08/15/2002." A copy of the transmittal form

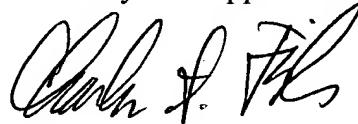
which was filed with the application is included and reflects Applicants' preference for non-publication. A corrected filing receipt is respectfully requested.

While it is believed that this is not an error by the Applicants, the Commissioner is hereby authorized to charge any amount required by this paper or credit any overpayment to Deposit Account No. 02-0384 of Baker Botts L.L.P.

Respectfully submitted this 30 day of April, 2001.

BAKER BOTTS L.L.P.

Attorneys for Applicants



Charles S. Fish

Reg. No. 35,870

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(jdv)

TRANSMITTAL FOR U.S. PATENT APPLICATION
UNDER 37 CFR §1.53(b)



Patent Application
ASSISTANT COMMISSIONER
OF PATENTS
Washington, D.C. 20231

Attorney's Docket: 062986.0174

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AUG 15 2001

Technology Center 2100

Sir:

Transmitted herewith for filing is the original patent application of:

Inventor: Kenneth C. Yeager, et al.

For: METHOD AND APPARATUS FOR RECORDING TRACE DATA
IN A MICROPROCESSOR BASED INTEGRATED CIRCUIT

X Pursuant to 37 C.F.R. § 1.213(a), Applicants respectfully request that the above-identified patent application not be published under 35 U.S.C. § 122(b). Applicants hereby certify that the invention disclosed in the above-identified application has not been and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

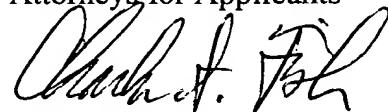
Enclosed are:

- (1) 23 pages of Specification, Claims and Abstract;
- (2) 5 pages of Executed Declaration and Power of Attorney;
- (3) An Assignment of the invention to Silicon Graphics, Inc. is attached.
A separate cover sheet in compliance with 37 C.F.R. § 3.28 and § 3.31 is included with an Assignment recordal fee of \$40.00 pursuant to 37 C.F.R. §1.21(h);
- (4) 9 pages of Formal Drawings;
- (5) 1 page Certificate of Mailing by Express Mail;
- (6) This Transmittal Form with attached patent application filing fee in the amount of \$710.00;
- (7) 1 page Bibliographic Data Sheet.

FEE CALCULATION					FEE
	Number		Number Extra	Rate	Basic Fee \$710.00
Total Claims	20	20	0	X \$18 =	-0-
Independent Claims	3	3	0	X \$80 =	-0-
TOTAL FILING FEE =					\$710.00

Enclosed is a check in the amount of \$710.00 for filing fee. Please charge any additional fees or credit any overpayment to Deposit Account No. 02-0384 of Baker Botts L.L.P.

BAKER BOTTS L.L.P.
Attorneys for Applicants

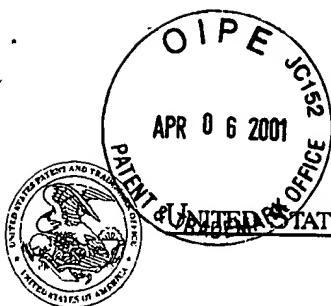


Charles S. Fish
Registration No 35,870

Date: February 15, 2001

CORRESPONDENCE ADDRESS:

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/788,174	02/15/2001	2185	710	062986.0174	9	20	3

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AUG 15 2001

Technology Center 2100

CONFIRMATION NO. 4017

FILING RECEIPT



OC000000005888422

Charles S. Fish, Esq.
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Date Mailed: 03/21/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Kenneth C. Yeager, Sunnyvale, CA;
Steven T. Peltier, Mountain View, CA;
David X. Zhang, San Jose, CA;

Assignment For Published Patent Application

Silicon Graphics, Inc.,;

Continuing Data as Claimed by Applicant

Foreign Applications

If Required, Foreign Filing License Granted 03/21/2001

Projected Publication Date: 08/15/2002

Non-Publication Request: No YES

Early Publication Request: No

Title

Method and apparatus for recording trace data in a microprocessor based integrated circuit

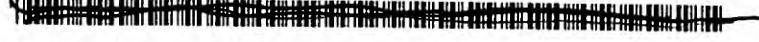
Preliminary Class

711

Data entry by : HAILE, MENTUAB

Team : OIPE

Date: 03/21/2001



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Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

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No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

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Washington, DC 20231



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 WASHINGTON, D.C. 20231
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Bib Data Sheet

CONFIRMATION NO. 4017

SERIAL NUMBER 09/788,174	FILING DATE 02/15/2001 RULE	CLASS 714	GROUP ART UNIT 2184	ATTORNEY DOCKET NO. 062986.0174
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APPLICANTS

Kenneth C. Yeager, Sunnyvale, CA;
 Steven T. Peltier, Mountain View, CA;
 David X. Zhang, San Jose, CA;

FILE COPY**** CONTINUING DATA *******

none EP

**** FOREIGN APPLICATIONS *******

none EP

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** 03/21/2001

Foreign Priority claimed	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no	STATE OR COUNTRY	SHEETS DRAWING	TOTAL CLAIMS	INDEPENDENT CLAIMS
35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance	CA	9	20	3
Verified and Acknowledged	Examiner's Signature _____ Initials _____				

ADDRESS

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TITLE

Method and apparatus for recording trace data in a microprocessor based integrated circuit

FILING FEE RECEIVED 710	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
		<input type="checkbox"/> 1.16 Fees (Filing)
		<input type="checkbox"/> 1.17 Fees (Processing Ext. of time)
		<input type="checkbox"/> 1.18 Fees (Issue)
		<input type="checkbox"/> Other _____
		<input type="checkbox"/> Credit